

# **The Democratic Republic of São Tomé and Príncipe**

## **Additional Financing to the Regional Disease Surveillance Systems Enhancement Project in Central Africa, Phase IV (REDISSE IV)(P176658)**

### **Draft ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)**

**November 20, 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Democratic Republic of São Tomé and Príncipe (hereinafter the Recipient), shall implement the São Tomé and Príncipe (STP) Regional Disease Surveillance Systems Enhancement (REDISSE IV) Project (hereinafter the Project), with the involvement of the Ministry of Health (**MoH, and Agência Fiduciária e de Administração de Projectos AFAP**). The International Development Association (hereinafter the Association) has agreed to provide financing for Project.
2. The Recipient shall implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, as well as any specific documents or plans, and the timing for each of them.
3. The Recipient shall comply with the provisions of any other Environmental and Social (E&S) documents required under the ESSs and referred to in this ESCP, and the timelines specified in those E&S documents.
4. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, AFAP or unit referenced in paragraph 1 above.
5. The implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association shall monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, Recipient shall agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Association and the Recipient. The Recipient shall promptly re-disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING:</b> Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to implementation of: ESCP, ESMPs, SEP and LMP), stakeholder engagement activities and information from the grievances log.</p>	Starting from the Effective Date, quarterly reports shall be submitted throughout Project implementation	PIU(AFAP/Ministry of Health)
B	<p><b>INCIDENTS AND ACCIDENTS:</b> Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including those related to occupational accidents that could result in death or serious injury, gender-based violence (GBV), particularly sexual exploitation, abuse and sexual harassment (SEA/SH).</p> <ul style="list-style-type: none"> <li>• Provide sufficient details regarding the incident or accident, indicating immediate measures taken or those planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</li> <li>• In addition to the initial notification a report must be submitted to the Bank on completion of incident investigation and closure.</li> </ul>	24 hours after learning of the incident or accident. A subsequent detailed report would be provided within 1 month to the Bank, as requested.	PIU(AFAP/Ministry of Health)

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b> The existing organizational structure for the STP COVID 19- Emergency Response Project (P173783) will be maintained for the management of REDISSE IV project. The Project Implementation Unit (PIU) in AFAP shall maintain: One (1) Environmental Specialist and one (1) Social Development Specialist.</p> <p>2. The full time Specialists will be responsible for addressing environmental and social risks/impacts ESMPs, LMP, SEP, as well as waste management issues.</p>	<p>PIU is already established and has in place one Environmental Specialist and one Social Specialist. PIU staff will be maintained throughout Project implementation.</p> <p>The PIU may be strengthened by additional staff during the implementation phase, if deemed necessary and justified by a clear proposal.</p>	PIU (AFAP/Ministry of Health)
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</b></p> <p>Carry out, adopt, and disclose environmental and social risk management instruments, including:</p> <ul style="list-style-type: none"> <li>• Environmental and Social Framework (ESMF);</li> <li>• Labor Management Procedures (LMP);</li> <li>• Stakeholder Engagement Plan (SEP);</li> <li>• Infection Control Waste Management Plan (ICWMP).</li> </ul> <p>Prepare, disclose and implement sub-project E&amp;S instruments. Ensure that the sub- project E&amp;S instruments are: (i) disseminated among affected populations and/or other stakeholders, including NGOs; and (ii) subject to public consultation, to the satisfaction of the Bank and in accordance with the ESS10 and SEP.</p>	<p>Drafts of the ESMF, ICWMP, LMP, ESCP and SEP will be revised and finalized by Decision Meeting. The final version of all instruments shall be finalized, consulted upon and disclosed by to Appraisal.</p> <p>a) ESIA/ESMPs will be</p>	PIU (AFAP/Ministry of Health)

	<p>a) Screen any proposed subproject in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project and, thereafter, draft, adopt, and implement the subproject Environmental and Social Management Plan (ESMP) / ESIA (Environmental and Social Impact Assessment).</p> <p>b) Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p>	<p>prepared approved and disclosed prior to commencement of the respective subprojects. ESIA/ESMPs will be implemented throughout Project implementation</p> <p>b) The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities and shall thereafter be complied with throughout the implementation of such activities.</p>	
<b>MATERIAL MEASURES AND ACTIONS</b>		<b>TIMEFRAME</b>	<b>RESPONSIBLE ENTITY/AUTHORITY</b>
1.3	<p><b>EXCLUSIONS:</b> Exclude the following type of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> <li>• Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts</li> <li>• Activities that have high probability of causing serious adverse effects to human health and/or the environment not related to treatment of COVID19 cases</li> </ul>	<p>After the Project Effective Date and during the assessment process conducted under action 1.2.a. above.</p>	<p>PIU (AFAP/Ministry of Health)</p>

	<ul style="list-style-type: none"> <li>• Activities that may have significant adverse social impacts and may give rise to significant social conflict</li> <li>• Activities that may affect lands or rights of indigenous people or other vulnerable minorities,</li> <li>• Activities that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage.</li> <li>• All the other excluded activities set out in the ESMF of the Project.</li> </ul>		
1.4	<p><b>MANAGEMENT OF CONTRACTORS</b>, SUPPLIER AND CONSULTANT PIU to develop and implement procedures for managing contractors and subcontractors in accordance with the following:</p> <ul style="list-style-type: none"> <li>▪ Worksite ESMP (C-ESMP)</li> <li>▪ Minimum environmental and social requirements to be incorporated into the ToR and tender documents for the works and supervision contracts (including codes of good conduct, coordination, reporting and monitoring, and grievance mechanisms)</li> <li>▪ Social commitments on child labor and GBV, and other GBV elements which will be identified in the GBV Action Plan</li> <li>• Service quality</li> <li>▪ Commitment to hire environmental and social staff as recommended in the respective ESIA/ESMPs</li> <li>▪ Preparation of a detailed contractor ESMP (C-ESMP), consistent with all Project E&amp;S instruments, that are costed, with sufficient budget and staff to mitigate E&amp;S risks</li> <li>▪ All procurement contracts shall require compliance by contractors and subcontractors with the project management tools and instruments identified in item 1.3 above.</li> </ul>	Throughout project implementation.	PIU (AFAP/Ministry of Health)
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<b>LABOR MANAGEMENT:</b> The Project shall be carried out in	Throughout Project	PIU (AFAP/Ministry of Health)

	<p>accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. The Labor Management Procedures (LMP) document, aligned with National Labor Legislation and ESS2, have been prepared and is included as an annex to the ESMF.</p>	<p>implementation.</p>	
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS:</b> The Recipient will ensure that Project contractors and subcontractors adopt and maintain a grievance mechanism for any Project-related labor and employment matters (including SEA/SH), easily accessible to Project workers, in line with ESS2 and labor laws of STP.</p>	<p>Throughout Project implementation.</p>	<p>PIU (AFAP/Ministry of Health)</p>
2.3	<p><b>OHS MEASURES:</b> The Government will ensure that Project contractors develop and implement an Occupational, Health and Safety (OHS) Plan.</p>	<p>Throughout Project implementation.</p>	<p>PIU (AFAP/Ministry of Health)</p>

<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to manage healthcare risks and waste and other types of hazardous and non-hazardous waste.	Throughout Project implementation.	PIU (AFAP/Ministry of Health)
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project; manage the risks of the use of security personnel; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment (SEA/SH). The Borrower will ensure the avoidance of any form of Sexual Exploitation and Abuse by relying on the WHO Code of Ethics and Professional Conduct for all workers in the quarantine facilities as well as the provision of gender-sensitive infrastructures such as segregated toilets and enough light in quarantine and isolation centers.	Throughout Project implementation.  A SEA/SH risk assessment and draft Action Plan have been included as an annex in the ESMF. The Action Plan shall be operational no later than 30 days following the Effectiveness date. The SEA/SH Action Plan shall be implemented throughout Project implementation.	PIU (AFAP/Ministry of Health)
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
	Not relevant.		



<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.		
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
	Not relevant.		
<b>ESS 8: CULTURAL HERITAGE</b>			
	Not relevant.		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	Not relevant.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN:</b> Prepare, disclose, adopt, and implement a Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Association.	A draft SEP has already been prepared and consulted with stakeholders. It shall be updated no later than 30 days following the Project Effectiveness date. The SEP shall be implemented throughout the Project implementation period.	PIU (AFAP/Ministry of Health)
10.2	<b>GRIEVANCE MECHANISM:</b> Accessible and inclusive mechanisms to raise concerns or grievance shall be made publicly available – particularly to project-affected persons – and shall be used to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Association. There will be specific procedures in place for addressing SEA/SH, with confidentiality provisions as well as safe and ethical documenting of SEA/SH cases. Multiple channels will be in place for survivors to lodge a grievance relating to SEA/SH. Survivors shall be referred to appropriate Service Provider(s) for support immediately after the lodging of a grievance. The GRM shall have in place processes to immediately notify both MOH and the World Bank of any SEA/SH complaints with the consent of the survivor.	The Project GRM has already been outlined in the SEP and ESMF and presented to the project stakeholders during public consultations. It shall be further developed and made operational no later than 30 days following the Project Effective Date.  The GRM shall be implemented throughout Project implementation.	PIU (AFAP/Ministry of Health)

<b>CAPACITY SUPPORT (TRAINING)</b>		
	<p>The PIU, with support of third-party resources as needed (independent experts, NGOs, etc.) will design and implement training for personnel involved in the Project implementation. This ESCP proposes a preliminary training plan covering the following topics. This plan will be adapted to meet needs during Project implementation.:</p> <ul style="list-style-type: none"> <li>• Laboratory biosafety guidance</li> <li>• Occupational Health and Safety</li> <li>• GBV and SEA/SH awareness and prevention</li> <li>• Stakeholder engagement and GRM implementation</li> </ul>	<p>First training to be delivered within 6 months of Project effectiveness. Thereafter to be delivered from time to time when needed.</p> <p>PIU (AFAP/Ministry of Health)</p>